

0	For receiving Office use only		
)-1	International Application No.		
)-2	International Filing Date		
-3	Name of receiving Office and "PCT International Application"		
-4	Form - PCT/RO/101 PCT Request		
-4-1	Prepared using		
	Tropared using	PCT-EASY Version 2.92	
0-5	Petition	(updated 01.01.2003)	
0-3	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty		
0-6	Receiving Office (specified by the	United States Patent and Trademark	
	applicant)	Office (USPTO) (RO/US)	
0-7	Applicant's or agent's file reference	PU4825WO	
1	Title of invention	COMPOSITIONS AND METHODS FOR EVALUATING	
		AND DESIGNING NUCLEAR RECEPTOR LIGANDS	
		THAT MODULATE CO-REGULATOR AFFINITY	
ii -	Applicant	III OF INCOMINATION APPINITI	
1-1	This person is:	applicant only	
1-2	Applicant for	all designated States except US	
II-4	Name ·	SMITHKLINE BEECHAM CORPORATION	
II-5	Address:		
		One Franklin Plaza Philadelphai, PA 19101	
		United States of America	
II-6	State of nationality	US	
I-7	State of residence	US	
II-8	Telephone No.	919-483-2370	
11-9	Facsimile No.		
11-1	Applicant and/or inventor	919-483-7988	
II-1-1	This person is:		
III-1-2	Applicant for	applicant and inventor	
11-1-2	Name (LAST, First)	US only	
III-1- <del>4</del>	Address:	BLANCHARD, Steven, Gerard	
m- 1-5	Aduress:	GlaxoSmithKline	
		Five Moore Drive	
		PO Box 13398	
	1	Research Triangle Park, NC 27709	
III-1-6	State of nationality	United States of America	
	1	us	
111-1-7	State of residence	US	



## Original (for SUBMISSION) - printed on 11.04.2003 10:58:03 AM

III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and insented
III-2-2	Applicant for	applicant and inventor US only
111-2-4	Name (LAST, First)	<u> </u>
111-2-5	Address:	STANLEY, Thomas, B GlaxoSmithKline
		Five Moore Drive
		PO Box 13398
		Research Triangle Park, NC 27709
		United States of America
III-2-6	State of nationality	US
111-2-7	State of residence	US
111-3	Applicant and/or inventor	
III-3-1	This person is:	applicant and inventor
111-3-2	Applicant for	US only
111-3-4	Name (LAST, First)	STIMMEL, Julie, Beth
111-3-5	Address:	GlaxoSmithKline
		Five Moore Drive
		PO Box 13398
		Research Triangle Park, NC 27709
		United States of America
111-3-6	State of nationality	US
111-3-7	State of residence	US
IV-1	Agent or common representative; or	
	address for correspondence The person identified below is	
	hereby/has been appointed to act on	agent
	behalf of the applicant(s) before the competent International Authorities as:	
IV-1-1	Name (LAST, First)	LEVY, David, J
IV-1-2	Address:	GlaxoSmithKline
		Five Moore Drive
		PO Box 13398
		Research Triangle Park, NC 27709
		United States of America
IV-1-3	Telephone No.	919-483-2370
IV-1-4	Facsimile No.	919-483-7988
IV-2	Additional agent(s)	additional agent(s) with same address as
		first named agent
IV-2-1	Name(s)	CONGER, Michael, M



## Original (for SUBMISSION) - printed on 11.04.2003 10:58:03 AM

V	Designation of States	
V-1	(other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)  ZW and any other State which is a Contracting State of the Harare Proto and of the PCT  EA: AM AZ BY KG KZ MD RU TJ TM and an other State which is a Contracting Stoff the Eurasian Patent Convention and the PCT  EP: AT BE BG CH&LI CY CZ DE DK EE ES FR GB GR HU IE IT LU MC NL PT SE SI STR and any other State which is a Contracting State of the European Pate Convention and of the PCT  OA: BF BJ CF CG CI CM GA GN GQ GW ML NE SN TD TG and any other State which a member State of OAPI and a Contracting State of OAPI and	
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national	
VI-1-1	application Filing date	12 April 2002 (12.04.2002)
VI-1-2	Number	60/372,524
VI-1-3	Country	us



Original (for SUBMISSION) - printed on 11.04.2003 10:58:03 AM

1-2	Priority document request		
	The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1	
VII-1	International Searching Authority	United States Patent	and Trademark
	Chosen	Office (USPTO) (ISA/	US)
VIII	Declarations	Number of declarations	I .
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	_	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	_	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)		
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	_	
IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	5	-
IX-2	Description (excluding sequence listing part)	29	_
IX-3	Claims	2	1-
IX-4	Abstract	1	EZABSTOO.TXT
IX-5	Drawings	7	_
IX-7a	Sub-total number of sheets	44	
IX-6	Sequence listing part of description	3	-
IX-7	TOTAL	47	

	Accompanying items	paper document(s) attached	electronic file(s) attached
K-8	Fee calculation sheet	<b>√</b>	_
<b>(-11</b>	Copy of general power of attorney	reference no. SB	-
-12	Statement explaining lack of signature	✓ ✓	_
-16	Sequence listing in computer readable form:		
-16 )	copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application)		1 Diskette
-17	PCT-EASY diskette	_	Diskette
:-18	Other (specified):	Copy of POA authorizing signature of D. Levy	_
-18	Other (specified):	Statement regarding paper and electronic sequence list	
-19	Figure of the drawings which should accompany the abstract	unknown	
-20	Language of filing of the international application	English	
-1	Signature of applicant, agent or common representative	muleman	
1-1	Name (LAST, First)	CONGER, Michael, M	$\bigcirc$

#### FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/US
10-6	Transmittal of search copy delayed until search fee is paid	

#### FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by	
	the International Bureau	



(This sheet is not part of and does not count as a sheet of the international application)

0 1	For receiving Office use only			
0-1	International Application No.			
0-2	Date stamp of the receiving Office			
0-4	Form - PCT/RO/101 (Annex)			
0-4-1	PCT Fee Calculation Sheet Prepared using			
0-4-1	Frepared using	PCT-EASY Vers		
0-9	Applicant's or agent's file reference	(updated 01.0)	1.2003)	
2		PU4825WO	· · · · · · · · · · · · · · · · · · ·	
	Applicant		ECHAM CORPORAT	ION, et al.
12 12-1	Calculation of prescribed fees Transmittal fee	fee amount/multiplier	Total amounts (USD)	
	-	⇨	240	
12-2-1	Search fee S	₽	700	
12-2-2	International search to be carried out by	US		
12-3	International fee			
	Basic fee			
	(first 30 sheets) b1	407		
12-4	Remaining sheets	17		
12-5	Additional amount (X)	9		•
12-6	Total additional amount b2	153		
12-7	b1 + b2 = B	560		
12-8	Designation fees	300		
	Number of designations contained in international application	95		
12-9	Number of designation fees payable (maximum 5)	5		
12-10	Amount of designation fee (X)	88		
12-11	Total designation fees D	440		
12-12	PCT-EASY fee reduction R	-125		
12-13	Total International fee (B+D-R)	⇒	875	
12-14	Fee for priority document	· · · · · · · · · · · · · · · · · · ·	0,0	
	Number of priority documents requested	1		
12-15	Fee per document (X)	20		
12-16	Total priority document fee P	⇒	20	***
12-17	TOTAL FEES PAYABLE (T+S+I+P)	⇨	1,835	
12-19	Mode of payment	authorization	to charge dep	osit account
12-20	Deposit account instructions			
	The receiving Office:	United States	Patent and Tr	ademark
		Office (USPTO		
12-20- 1	Authorization to charge the total fees indicated above.	✓	, (3.0, 0.0,	
12-20-	Authorization to charge any deficiency	✓		
2	or credit any overpayment in the total fees indicated above.			

# PCT (ANNEX - FEE CALCOLATION SHEET) Original (for SUBMISSION) - printed on 11.04.2003 10:58:03 AM

PU4825WO

12-20- 3	Authorization to charge the fee for priority document.	<b>✓</b>
12-21	Deposit account No.	07-1392
12-22	Date	11 April 2003 (11.04.2003)
12-23	Name and signature	CONGER, Michael, M

## **VALIDATION LOG AND REMARKS**

13-2-1	Validation messages Request	Green? The title of the invention shall be short and precise. Please verify.
13-2-9	Validation messages Payment	Green?  Please ensure that you have a valid deposit account with the receiving Office selected.